JC03 Rec'd PCT/PTO 1 1 OCT 2009

NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) Under 35 USC 371 Attorney Docket No.: SHIGA7.029APC First Named Inventor: Takuma Hojo Int'l Application No.: PCT/JP2004/005402

Int'l Filing Date: April 15, 2004 Priority Date Claimed: April 30, 2003

Title: POSITIVE RESIST COMPOSITION AND METHOD OF

FORMATION OF RESIST PATTERNS Express Mail Label No.: EV309072436US

Direct all correspondence to Customer No.: 20995

Date: October 11, 2005

Page 1 of 3

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United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Takuma Hojo

2. Kiyoshi Ishikawa

APPLICATION ELEMENTS:

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) The U.S. has been elected (Article 31).
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) is not required, as the application was filed in the U.S. Receiving Office.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) An Oath or Declaration signed by the inventors (35 U.S.C. § 371(c)(4)).

OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in 3 pages.
- (X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing 9 references.
 - (X) Enclosing 6 references.
- (X) International Search Report.
- (X) Cover Page of PCT publication WO 2004/097524 A1.
- (X) Written Opinion.

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FILING FEES:

FEE CALCULATION												
FEE TYPE & DETERMINATION						LAR	GE FEE	CALCULATION			TOTAL	
Basic Filing Fee under 1.492(a)					1631	(\$300)					\$300	
Search Fee under 1.492(b) (enter one in TOTAL column)												
(1) USPTO was ISA and claims are novel						1640	(\$0)					
(2) USPTO was the ISA 1641 (\$10						(\$100)					\$400	
(3) Foreign Search Report Enclosed 1642 (\$400)												
(4) No Search Report Enclosed 1632 (\$500)												
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(1) USPTO was IPEA & claims satisfy Art. 33 (1)-(4)) 1643 (\$0)							(\$0)					\$200
(2) Non US IPEA / Noncompliant Claims						1633	(\$200)					
Excess Claims	11	-	20	=	0	1615	(\$50)	0	х	50	=	\$0
Excess Ind. Claims	1	-	3	=	0	1614	(\$200)	0	X	200	=	\$0
Multiple Dep. Claim						1616	(\$360)					\$0
Application Size Fee	0	-	100) =	0	1681	(\$250)	0	х	250	=	\$0
Late English Translation						1618	(\$130)					\$0
Assignment						8021	(\$40)	1	х	40	=	\$40
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The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

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Attorney Docket No.

SHIGA7.029APC

Applicant(s)

: Hojo et al.

For

POSITIVE RESIST COMPOSITION AND

METHOD OF FORMATION OF RESIST

PATTERNS

Attorney

DEA

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I hereby certify that the accompanying

Transmittal letter; English translation of specification in 29 pages; Preliminary Amendment in 3 pages; Declaration/Power of Attorney in 2 pages; Recordation Form Cover Sheet and Assignment in 3 pages; International Search Report; Cover page of PCT publication WO 2004/097524 A1; Written Opinion; PCT/IB/308; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 6 references; Check for Filing Fee; Return Prepaid Postcard

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